Attorney's Docket No.: 15670-009001/SD 2001-051

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Mark Wang et al.

Art Unit: 2874

Serial No.: 09/998,012

Examiner:

Filed

: November 28, 2001

Title

: OPTICAL SWITCHING AND SORTING OF BIOLOGICAL SAMPLES AND MICROPARTICLES TRANSPORTED IN A MICRO-FLUIDIC

DEVICE, INCLUDING INTEGRATED BIO-CHIP DEVICES

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicants call attention to the attached Information Disclosure Statement and documents listed on form PTO-1449.

This filing is being made before the receipt of a first Office action on the merits. No fee is required.

Consideration of the foregoing and enclosures plus the return of a copy of the enclosed form PTO-1449 with the Examiner's initials in the left column per MPEP 609 are earnestly solicited along with an early action on the merits.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

September 16.

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Respectfully submitted,

Date: September 16, 2003

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Sheet	1	of	1

Substitute Form PTO-1449 (Modified)

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 15670-009001

Application No. 09/998,012

Informati n Disclosure Statement by Applicant (Use several sheets if necessary) Applicant
Mark Wang et al.

November 28, 2001

Filing Date

Group Art Unit 2874

(37 CFR §1.98(b))

U.S. Patent Documents Filing Date Document Publication Desig. Examiner Class Subclass If Appropriate Date Number Patentee Initial ID 10/7/1997 Ulmer 5674743 AALiu 6159749 12/12/2000 AΒ McDevitt et al. AC2002/0045272 4/18/2002 2002/0094533 7/18/2002 Hess et al. AD ΑE AFAG

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner	Desig.	Document	Publication	Country or			Trans	lation
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
	AH							
	AI							
	AJ							
	AK							
	AL							

Other Documents (include Author, Title, Date, and Place of Publication)				
Examiner	Desig.			
Initial	ID	Document		
	AM	Li, et al.; Transport, Manipulations, and Reaction of Biological Cells On-Chip Using Electrokinetic Effects; April 15, 1997; Analytical Chemistry, Vol. 69, No. 8, pgs. 1564-1568		
	AN	Mihrimah et al.; Heterogeneous Integration through Electrokinetic Migration; November/December 2001; IEEE Engineering in Medicine and Biology; pgs. 144-151		
	AO	Swanson, et al.; A fully multiplexed CMOS biochip for DNA Analysis; 2000; Sensors and Actuators B 64; pgs. 22-30		
	AP	Zeidler; Automated chromosome analysis; August 1988; Nature, Vol. 334, No. 6183; pg. 635		

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if no	t in conformance and not considered. Include copy of this form with

next communication to applicant.